IFW

Atty. Dkt. No. 047182-0145

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

DEC 1 8 2006

Nikhil KORATKAR et al.

Title:

MINIATURIZED GAS SENSORS FEATURING

ELECTRICAL BREAKDOWN IN THE VICINITY OF

CARBON NANOTUBE TIPS

Appl. No.:

10/540,112

International

12/19/2003

Filing Date: 371(c) Date:

Examiner:

Unassigned

Art Unit:

1753

Conf. No.:

1425

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT

An English translation of the foreign-language document is not readily available. However, the absence of such translation does not relieve the PTO from its duty to consider the submitted foreign language document (37 CFR §1.98 and MPEP §609).

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Although Applicant believes that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

12/18/06

FOLEY & LARDNER LLP

Customer Number: 22428 Telephone:

(202) 945-6090

Facsimile:

(202) 672-5399

Leon Radomsky

Attorney for Applicant

Registration No. 43,445

PTO/SB/08 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control

number						· · · · · · · · · · · · · · · · · · ·	
	Substitute for	form 1449/f	PTO/OT	E	Complete if Known		
	INFORMATION				Application Number	10/540,112	
	STATEMENT I	ADDI I	φαντ	7	Filing Date	12/19/2003	
	Date Submitted: D		L DEC 1	L-8 2006	First Named Inventor	Nikhil KORATKAR	
	Date Submitted: L	ecember	2006	Ž.	Art Unit	Unassigned	
	(use as many she	ets as ne	ce star y)	J. G.	Examiner Name	Unassigned	
Sheet	1	of	3	DEMARK	Attorney Docket Number	047182-0145	

U.S. PATENT DOCUMENTS						
Examiner Cite		Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant	
Initials* No.1		Number-Kind Code ² (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear	

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6

	NON PATENT LITERATURE DOCUMENTS	
Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т ⁶
A1	Baughman et al., "Carbon Nanotubes-the Route Toward Applications," Science, August 2, 2002, 297, 787-792.	
A2	Capone et al., "Gas Sensitivity Measurements on NO₂ Sensors Based on Copper (II) Tetrakis(n-butylaminocarbonyl)phthalocyanine LB Films," Langmuir, 1999, 15, 1748-1753.	
A3	Chopra et al., "Development of RF Carbon NAnotube Resonant Circuit Sensors for Gas Remote Sensing Applications," 2002 IEEE MTT-S Digest, 639-642.	
A4	Collins et al., "Extreme Oxygen Sensitivity of Electronic Properties of Carbon Nanotubes," Science, March 10, 2000, 287, 1801-1804.	
A5	Currie et al., "Micromachined thin film solid state electrochemical CO ₂ , NO ₂ and SO ₂ gas sensors," Sensors and Actuators B, 1999, 59, 235-241.	
A6	deJonge et al., "High brightness electron beam from a multi-walled carbon nanotube," Nature, November 28, 2002, 420, 393-395 and 461.	
	A1 A2 A3 A4 A5	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. A1 Baughman et al., "Carbon Nanotubes-the Route Toward Applications," Science, August 2, 2002, 297, 787-792. A2 Capone et al., "Gas Sensitivity Measurements on NO ₂ Sensors Based on Copper (II) Tetrakis(n-butylaminocarbonyl)phthalocyanine LB Films," Langmuir, 1999, 15, 1748-1753. A3 Chopra et al., "Development of RF Carbon Nanotube Resonant Circuit Sensors for Gas Remote Sensing Applications," 2002 IEEE MTT-S Digest, 639-642. A4 Collins et al., "Extreme Oxygen Sensitivity of Electronic Properties of Carbon Nanotubes," Science, March 10, 2000, 287, 1801-1804. A5 Currie et al., "Micromachined thin film solid state electrochemical CO ₂ , NO ₂ and SO ₂ gas sensors," Sensors and Actuators B, 1999, 59, 235-241. A6 deJonge et al., "High brightness electron beam from a multi-walled carbon nanotube," Nature, November

			0.7/2.1/2.2/2
Examiner	/Gurpreet Kaur/	Date Considered	05/21/2010
Signature	, oran proof reason	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document wIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

	Substitute	for form 1449	/P 7 0	ž.		Complete if Known	
	INFORMAT	ON DISCLO	SURE -	1.8.7006	µApplication Number	10/540,112	
	INFORMATI STATEMEN	T BY APPL	IDANT ^{DE (}	1020	Filing Date	12/19/2003	
	Date Submitted		402	ک	First Named Inventor	Nikhil KORATKAR	
	Date Submitted	a: Decembe	r 18, 200	ART	Art Unit	Unassigned	
	(use as many	sheets as n	ecessary	MADO	Examiner Name	Unassigned	
Sheet	2	of	3		Attorney Docket Number	047182-0145	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	A7	DETECTORS Flame Ionization Detector – FID, date unknown, company brochure, 8 pages.	
	A8	Dresselhaus et al., "Carbon Nanotubes, Synthesis, Structure, Properties and Applications," 2001, 80, Table of Contents only.	
	A9	Drotar et al., "Reflection high-energy electron diffraction from carbon nanotubes," Physical Review B, 2001, 64, 125417-1 to 125417-6.	
	A10	Dume, Belle, "Nanotubes make miniature gas sensors," physicsweb, July 10, 2003, 2 pgs.	
	A11	Edgecombe et al., "Microscopy and computational modeling to elucidate the enhancement factor for field electron emitters," Journal of Microscopy, February 2001, 203(2), 188-194.	
	A12	Endres et al., "A capacitive CO ₂ sensor system with suppression of the humidity interference," Sensors and Actuators B, 1999, 57, 83-87.	
	A13	Forbes et al., "Some comments on models for field enhancement," Ultramicroscopy, 2003, 95, 57-65.	
	A14	Keblinski et al., "Charge Distribution and Stability of Charged Carbon Nanotubes," Phys. Rev. Lett., December 2002, 89(25), 255503-1-255503-4.	
	A15	Kong et al., "Nanotube Molecular Wires as Chemical Sensors," Science, January 28, 2000, 287, 622-625.	
	A16	Koratkar, Nikhil, "Carbon Nanotubes as Ionization Detectors for Chemical Sensing," web page visited December 8, 2003, 2 pgs.	
	A17	Lee et al., "A new process for fabricating CO ₂ -sensing layers based on BaTiO ₃ and additives," Sensors and Actuators B, 2000, 68, 293-299.	
	A18	Li et al., "Study of the self-sustaining discharge gas sensor with carbon nanotube cathode," Joint 15 th IVMC and 48 th IFES, July 7-11, 2002, 3 pgs.	
	A19	Lonergan et al., "Array-Based Vapor Sensing Using Chemically Sensitive, Carbon Black-Polymer Resistors," Chem. Mater. 1996, 8, 2298-2312.	
	A20	Mandelis et al., "Physics, chemistry and technology of solid state gas sensor devices," Wiley-Interscience, 1993 (table of contents).	

Examiner Signature	/Gurpreet Kaur/	Date Considered	05/21/2010

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Complete if Known

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCO.

Under the Paperwork Reduction Act of 1997, no persons are required to respond to a collection of information unless it contains a valid OMB control

Substitute for form 1449/PTO
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Date Submitted: December 18, 2006

(use as many sheets as necessary)

Sheet

Application Number	10/540,112	
Filing Date	12/19/2003	
First Named Inventor	Nikhil KORATKAR	
Art Unit	Unassigned	
Examiner Name	Unassigned	
Attorney Docket Number	047182-0145	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	Matsubara et al., "A practical capacitive type CO ₂ sensor using CeO ₂ /BaCO ₃ /CuO ceramics," Sensors and Actuators B, 2000, 65, 128-132.		
	A22	McConnell et al., "The Cytosensor Microphysiometer: Biological Applications of Silicon Technology, Science, September 25, 1992, 257, 1906-1912.	
	A23	Miasik et al., "Conducting Polymer Gas Sensors," J. Chem. Soc., Faraday Trans. 1, 1986, 82, 1117-1126.	
	A24	Modi et al., "Miniaturized gas ionization sensors using carbon nanotubes," Nature, July 10, 2003, 424, 171-174 and 2 page Supplementary Section.	-
	A25	Ong et al., "A Wireless, Passive Carbon Nanotube-Based Gas Sensor," IEEE Sensors Journal, April 2002, 2(2), pp. 82-88.	
	A26	Peng et al., "Carbon Nanotube Chemical and Mechanical Sensors," Structural Health Monitoring, 2001, 1142-1149.	
	A27	Photoionization detector (PID) HNU, October 6, 1994, US EPA, 1-16.	
	A28	Pinghu et al., "Study of a new gas sensor based on electrical conductance of gases in a high electric field," Chinese Journal of Scientific Instrument, 19(3), 1998, 239-244.	
	A29	Shimizu et al., "Basic aspects and challenges of semiconductor gas sensors," MRS Bull., 24(6), 18-24, 1999.	
	A30	Takao et al., "High ammonia sensitive semiconductor gas sensors with double-layer structure and interface electrodes," J. Electrochem. Soc., 141, 1028-1034, 1994.	
	A31	Wei et al., "Lift-up growth of aligned carbon nanotube patterns," Applied Physics Letters, November 6, 2000, 77(19), 2985-2987.	
	A32	Zhang et al., "Study of Gas Sensor with Carbon Nanotube Film on the Substrate of Porous Silicon," Proc. 14 th Intl. Vacuum Microelectron. Conf., august 13-16, 2001, 13-14.	
	A33	Zhang et al., "Substrate-site selective growth of aligned carbon nanotubes," Applied Physics Letters, December 4, 2000, 77(23), 3764-3766.	

Examiner Signature	/Gurpreet Kaur/	Date Considered	05/21/2010

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.